

# Calculus without Limits: the Theory

A Critique of Formal Mathematics  
Part 2: The Choice of Logic Underlying Proof

C. K. Raju

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and  
Centre for Studies in Civilizations, New Delhi

Recap

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# Calculus without Limits: the Theory

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- ▶ Calculus with limits enormously difficult to teach.

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- ▶ Calculus with limits enormously difficult to teach.
- ▶ Standard calculus books do **not** teach the precise definition of limits,  $\frac{d}{dx}$ ,  $e^x$  etc.

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- ▶ Teach calculus as a bunch of rules to be used without question—a useless skill for symbolic manipulation can be better done by low-cost machines.

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- ▶ Teach calculus as a bunch of rules to be used without question—a useless skill for symbolic manipulation can be better done by low-cost machines.
- ▶ Why teach humans to behave like low-cost machines?

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- ▶ Teach calculus as a bunch of rules to be used without question—a useless skill for symbolic manipulation can be better done by low-cost machines.
- ▶ Why teach humans to behave like low-cost machines?
- ▶ Limits required since visual intuition denied. But calculus texts rely heavily on visual intuition.

# Recap 2

Axioms and definitions arbitrary

- ▶ Limits depend upon  $\mathbb{R}$ . But why  $\mathbb{R}$ ? Why not something larger?

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Axioms and definitions arbitrary

- ▶ Limits depend upon  $\mathbb{R}$ . But why  $\mathbb{R}$ ? Why not something larger?
- ▶  $\mathbb{R}$  constructed using set theory. But set theory probably inconsistent since it requires 2 standards of proof, one for mathematics, and one for metamathematics.

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- ▶  $\mathbb{R}$  constructed using set theory. But set theory probably inconsistent since it requires 2 standards of proof, one for mathematics, and one for metamathematics.
- ▶  $\epsilon$ - $\delta$  definition of derivative and Riemann integral had to be abandoned in favour of Schwartz theory and Lebesgue for applications of calculus to physics.

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- ▶ However, Schwartz theory incomplete: lacks notion of product of distributions (required for physics).

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- ▶  $\epsilon$ - $\delta$  definition of derivative and Riemann integral had to be abandoned in favour of Schwartz theory and Lebesgue for applications of calculus to physics.
- ▶ However, Schwartz theory incomplete: lacks notion of product of distributions (required for physics).
- ▶ No general rule available for choosing between different definitions and axiom sets: only way is to rely on Western mathematical authority.

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- ▶ Mathematics has long been regarded in the West as universal; not merely global, but universal.

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<sup>1</sup>Christiaan Huygens, *The Celestial Worlds Discover'd: or Conjectures Concerning the Inhabitants, Plants, and Productions of the Worlds in the Planets*, London, 1698, p. 86.

- ▶ Mathematics has long been regarded in the West as universal; not merely global, but universal.
- ▶ This thought is captured in the following remark from Huygens<sup>1</sup>

*no matter how inhabitants of other planets might differ from man in other ways, they must agree in music and geometry, since [music and geometry] are everywhere immutably the same, and always will be so.*

---

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- ▶ Arbitrariness in axioms (for  $\mathbb{R}$ ) or definitions (of derivative) are easy to understand, and formal math accepts this arbitrariness.

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# Modified claim

- ▶ This claim modified with advent of formal mathematics.
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- ▶ Not permitted in practice (paper with different notion of derivative not likely to be published).

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# Modified claim

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- ▶ Not permitted in practice (paper with different notion of derivative not likely to be published).
- ▶ But allowed in principle that theorems may not be universal, and will vary with axioms

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# Proof: the key concern of formal mathematicians

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- ▶ So a formal mathematician is concerned with proof.

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# Proof: the key concern of formal mathematicians

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- ▶ A theorem is the last sentence of a proof.
- ▶ So a formal mathematician is concerned with proof.
- ▶ A proof connects axioms to theorems.

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# Proof: the key concern of formal mathematicians

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- ▶ A proof connects axioms to theorems.
- ▶ Today the claim is that proof is universal.

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# Proof: the key concern of formal mathematicians

- ▶ The job of a formal mathematician is to prove theorems.
- ▶ A theorem is the last sentence of a proof.
- ▶ So a formal mathematician is concerned with proof.
- ▶ A proof connects axioms to theorems.
- ▶ Today the claim is that proof is universal.
- ▶ If axioms change, theorems will change, but the connection of axioms to theorems will not change.

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# Universality of proof

- ▶ It is believed that proof represents a higher form of truth: **necessary truth**.

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# Universality of proof

- ▶ It is believed that proof represents a higher form of truth: **necessary truth**.
- ▶ On Tarski-Wittgenstein (possible-world) semantics

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# Universality of proof

- ▶ It is believed that proof represents a higher form of truth: **necessary truth**.
- ▶ On Tarski-Wittgenstein (possible-world) semantics
- ▶ the axioms of a theory may be true in some worlds and false in others: they constitute **contingent truth**.

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# Universality of proof

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- ▶ On Tarski-Wittgenstein (possible-world) semantics
- ▶ the axioms of a theory may be true in some worlds and false in others: they constitute **contingent truth**.
- ▶ However, a necessary truth is always true; it is true in all possible worlds.

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# Definition of proof

- ▶ A **proof** is a sequence of statements  $A_1, A_2, \dots, A_n$  where each  $A_i$  is

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  - ▶ either an axiom,
  - ▶ or follows from one or more preceding  $A_j$ 's by means of a **rule of reasoning**.

# Example of rules of reasoning

- ▶ In the sentence calculus, the only rule of reasoning used is *modus ponens*.

$$A \Rightarrow B$$

$$A$$

$$\therefore B$$

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- ▶ The predicate calculus usually involves some more rules of reasoning. E.g., the **rule of generalisation**.

$$A(x)$$

$$\therefore (\forall x)A(x)$$

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## Example of rules of reasoning

- ▶ In the sentence calculus, the only rule of reasoning used is *modus ponens*.

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- ▶ The predicate calculus usually involves some more rules of reasoning. E.g., the **rule of generalisation**.

$$A(x)$$

$$\therefore (\forall x)A(x)$$

- ▶ or **the rule of instantiation**

$$(\forall x)A(x)$$

$$\therefore A(a)$$

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# 2-valued logic

- ▶ The rule of reasoning in sentence calculus (modus ponens)

# 2-valued logic

- ▶ The rule of reasoning in sentence calculus (modus ponens)
- ▶ assumes the definition of  $\Rightarrow$  as in 2-valued logic.

$\neg p$	$p \wedge q$	$p \vee q$	$p \Rightarrow q$	$p \Leftrightarrow q$	
$p / q$	-	TF	TF	TF	TF
T	F	TF	TT	TF	TF
F	T	FF	TF	TT	FT
-					

Table: Truth tables for 2-valued logic

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# Proof by contradiction

- ▶ This definition of  $\Rightarrow$  leads naturally to proofs by contradiction.

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# Proof by contradiction

- ▶ This definition of  $\Rightarrow$  leads naturally to proofs by contradiction.
- ▶ Since  $A \wedge \neg A$  is always false

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- ▶ This definition of  $\Rightarrow$  leads naturally to proofs by contradiction.
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- ▶ and a false statement always implies any statement

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# Proof by contradiction

- ▶ This definition of  $\Rightarrow$  leads naturally to proofs by contradiction.
- ▶ Since  $A \wedge \neg A$  is always false
- ▶ and a false statement always implies any statement
- ▶  $A \wedge \neg A \Rightarrow B$  is always true.

# 3-Valued logic

- ▶ The situation is different with 3-valued logic.

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# 3-Valued logic

- ▶ The situation is different with 3-valued logic.
- ▶ The connectives may now be defined as follows.

$p / q$	$\neg p$	$p \wedge q$	$p \vee q$	$p \Rightarrow q$	$p \Leftrightarrow q$
	-	T I F	T I F	T I F	T I F
T	F	T I F	T T T	T I F	T I F
I	I	I I F	T I I	T T I	I T I
F	T	F F F	T I F	T T T	F I T

**Table: Truth table for 3-valued logic.** This table is read exactly like an ordinary truth table, except that the sentences  $p$  and  $q$  now have three values each, with I denoting “indeterminate” (and T and F denoting “true” and “false” as usual). With this system,  $p \vee \neg p$  does *not* remain a tautology.

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# Proof with 3-valued logic

- ▶ Other definitions are possible (but won't go into those).

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- ▶ Other definitions are possible (but won't go into those).
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# Proof with 3-valued logic

- ▶ Other definitions are possible (but won't go into those).
- ▶ Note that  $A \wedge \neg A$  is not always false.
- ▶ If  $A$  has the value  $I$   $\neg A$  has the value  $I$ , and so has  $A \wedge \neg A$

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- ▶ If  $A$  has the value  $I$   $\neg A$  has the value  $I$ , and so has  $A \wedge \neg A$
- ▶ Thus proofs by contradiction fail.

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- ▶ Note that  $A \wedge \neg A$  is not always false.
- ▶ If  $A$  has the value  $I$   $\neg A$  has the value  $I$ , and so has  $A \wedge \neg A$
- ▶ Thus proofs by contradiction fail.
- ▶ Theorems proved using 2-valued logic are not theorems with 3-valued logic.

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# Proofs vary with logic

- ▶ Proofs vary with the logic used.

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- ▶ Proofs vary with the logic used.
- ▶ A mathematical proof is **NOT** some special sort of truth as has been believed.

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# Proofs vary with logic

- ▶ Proofs vary with the logic used.
- ▶ A mathematical proof is **NOT** some special sort of truth as has been believed.
- ▶ Theorems vary with **both** choice of axioms and choice of logic.

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# Quasi truth-functional logic

- ▶ The situation is worse with quasi truth functional (qtf) logic

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# Quasi truth-functional logic

- ▶ The situation is worse with quasi truth functional (qtf) logic
- ▶ Here even truth tables are not possible.

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# Quasi truth-functional logic

- ▶ The situation is worse with quasi truth functional (qtf) logic
- ▶ Here even truth tables are not possible.
- ▶ Here it is possible that  $A \wedge \neg A$  may be actually true.

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# Quasi truth-functional logic

- ▶ The situation is worse with quasi truth functional (qtf) logic
- ▶ Here even truth tables are not possible.
- ▶ Here it is possible that  $A \wedge \neg A$  may be actually true.
- ▶ As in the mundane statement “A person is both good and bad”.

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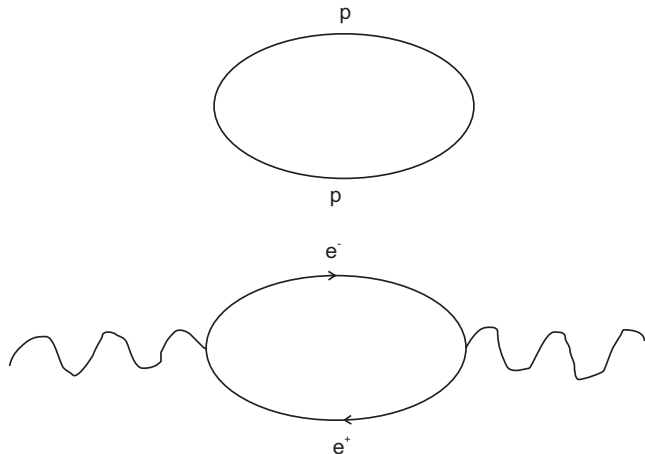
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# Quasi truth-functional logic

- ▶ The situation is worse with quasi truth functional (qtf) logic
- ▶ Here even truth tables are not possible.
- ▶ Here it is possible that  $A \wedge \neg A$  may be actually true.
- ▶ As in the mundane statement “A person is both good and bad”.
- ▶ Definitions of connectives may be easier understood semantically.

# Semantics of QTF logic



**Figure: Quasi truth-functional world.** top figure shows a QTF world, corresponding to two 2-valued logical worlds (at a single instant of time). QTF logic may arise in quantum mechanics as shown by the Feynman diagram below.

# QTF logics and quantum mechanics

## Outline

- ▶ QTF logics may actually arise in quantum mechanics.

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# QTF logics and quantum mechanics

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- ▶ QTF logics may actually arise in quantum mechanics.
- ▶ Hilbert space axioms for quantum mechanics are based on quantum logic.

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# QTF logics and quantum mechanics

## Outline

- ▶ QTF logics may actually arise in quantum mechanics.
- ▶ Hilbert space axioms for quantum mechanics are based on quantum logic.
- ▶ For an account of quantum logic see my article “Quantum mechanical time” [arxiv.org:0808.1344](https://arxiv.org/abs/0808.1344).

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- ▶ Hilbert space axioms for quantum mechanics are based on quantum logic.
- ▶ For an account of quantum logic see my article “Quantum mechanical time” [arxiv.org:0808.1344](https://arxiv.org/abs/0808.1344).
- ▶ For a formal proof that QTF logic implies quantum logic, see my book (appendix to chp. 6b).

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# Schrodinger's cat

- ▶ With QTF logic it is possible for Schrodinger's cat to be both alive and dead

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# Schrodinger's cat

- ▶ With QTF logic it is possible for Schrodinger's cat to be both alive and dead
- ▶ at one instant of time.
- ▶ Thus, the paradoxes of quantum mechanics are neatly resolved.

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# Can physics decide logic?

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- ▶ Formal mathematics is purely metaphysical.

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# Can physics decide logic?

- ▶ Formal mathematics is purely metaphysical.
- ▶ We saw that the appeal to the empirical was disallowed in Elements 1.1.

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# Can physics decide logic?

- ▶ Formal mathematics is purely metaphysical.
- ▶ We saw that the appeal to the empirical was disallowed in Elements 1.1.
- ▶ Therefore, formalism cannot appeal to the empirical to settle the question about logic.

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# Can physics decide logic?

- ▶ Formal mathematics is purely metaphysical.
- ▶ We saw that the appeal to the empirical was disallowed in Elements 1.1.
- ▶ Therefore, formalism cannot appeal to the empirical to settle the question about logic.
- ▶ However, the argument about quantum mechanics shows that quantum logic is not 2-valued.

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# Can physics decide logic?

- ▶ Formal mathematics is purely metaphysical.
- ▶ We saw that the appeal to the empirical was disallowed in Elements 1.1.
- ▶ Therefore, formalism cannot appeal to the empirical to settle the question about logic.
- ▶ However, the argument about quantum mechanics shows that quantum logic is not 2-valued.
- ▶ Thus, even an appeal to the physical world does not ensure 2-valued logic.

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- ▶ Physics does **not** support 2-valued logic.

# Is logic culturally universal?

- ▶ Physics does **not** support 2-valued logic.
- ▶ Is 2-valued logic culturally universal?

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# Is logic culturally universal?

- ▶ Physics does **not** support 2-valued logic.
- ▶ Is 2-valued logic culturally universal?
- ▶ No.

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- ▶ The Buddha in the Brahmajāla sutta assumes a logic of four alternatives (catuśkoṭī):<sup>2</sup>

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<sup>2</sup>Dīgha Nikāya, e.g., trans. Maurice Walshe, Wisdom publications, Boston, pp. 80–81

- ▶ The Buddha in the Brahmajāla sutta assumes a logic of four alternatives (catuśkoṭī):<sup>2</sup>
  - ▶  $p$  : “The world is finite”

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- ▶ The Buddha in the Brahmajāla sutta assumes a logic of four alternatives (catuśkoṭī):<sup>2</sup>
  - ▶  $p$  : “The world is finite”
  - ▶ not- $p$ : “The world is infinite”

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- ▶ The Buddha in the Brahmajāla sutta assumes a logic of four alternatives (catuśkoṭī):<sup>2</sup>
  - ▶  $p$  : “The world is finite”
  - ▶ not- $p$ : “The world is infinite”
  - ▶ **both**  $p$  and not- $p$ : “The world is both finite and infinite”

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  - ▶ **both**  $p$  and not- $p$ : “The world is both finite and infinite”
  - ▶ **neither**  $p$  nor not- $p$ : “The world is neither finite nor infinite”

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- ▶ The Buddha in the Brahmajāla sutta assumes a logic of four alternatives (catuśkoṭī):<sup>2</sup>
  - ▶  $p$  : “The world is finite”
  - ▶ not- $p$ : “The world is infinite”
  - ▶ **both**  $p$  and not- $p$ : “The world is both finite and infinite”
  - ▶ **neither**  $p$  nor not- $p$ : “The world is neither finite nor infinite”
- ▶ (Even natural language allows a person to be “both good and bad”.)

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<sup>2</sup>Dīgha Nikāya, e.g., trans. Maurice Walshe, Wisdom publications, Boston, pp. 80–81

# Jain logic of Syādavāda

## Logic of 7-cases

- ▶ *Syād asti.* (“Perhaps it is.”)

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# Jain logic of Syādavāda

## Logic of 7-cases

- ▶ *Syād asti*. (“Perhaps it is.”)
- ▶ *Syād nāsti*. (“Perhaps it is not.”)

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# Jain logic of Syādavāda

## Logic of 7-cases

- ▶ *Syād asti.* (“Perhaps it is.”)
- ▶ *Syād nāsti.* (“Perhaps it is not.”)
- ▶ *Syād asti nāsti ca.* (“Perhaps it both is and is not.”)

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# Jain logic of Syādavāda

## Logic of 7-cases

- ▶ *Syād asti.* (“Perhaps it is.”)
- ▶ *Syād nāsti.* (“Perhaps it is not.”)
- ▶ *Syād asti nāsti ca.* (“Perhaps it both is and is not.”)
- ▶ *Syād avaktavya.* (“Perhaps it is inexpressible.”)

# Jain logic of Syādvāda

## Logic of 7-cases

- ▶ *Syād asti.* (“Perhaps it is.”)
- ▶ *Syād nāsti.* (“Perhaps it is not.”)
- ▶ *Syād asti nāsti ca.* (“Perhaps it both is and is not.”)
- ▶ *Syād avaktavya.* (“Perhaps it is inexpressible.”)
- ▶ *Syād asti ca avaktavya ca.* (“Perhaps it is and is inexpressible.”)

# Jain logic of Syādavāda

## Logic of 7-cases

- ▶ *Syād asti.* (“Perhaps it is.”)
- ▶ *Syād nāsti.* (“Perhaps it is not.”)
- ▶ *Syād asti nāsti ca.* (“Perhaps it both is and is not.”)
- ▶ *Syād avaktavya.* (“Perhaps it is inexpressible.”)
- ▶ *Syād asti ca avaktavya ca.* (“Perhaps it is and is inexpressible.”)
- ▶ *Syād nāsti ca avaktavya ca.* (“Perhaps it is not and is inexpressible.”)

# Jain logic of Syādavāda

## Logic of 7-cases

- ▶ *Syād asti.* (“Perhaps it is.”)
- ▶ *Syād nāsti.* (“Perhaps it is not.”)
- ▶ *Syād asti nāsti ca.* (“Perhaps it both is and is not.”)
- ▶ *Syād avaktavya.* (“Perhaps it is inexpressible.”)
- ▶ *Syād asti ca avaktavya ca.* (“Perhaps it is and is inexpressible.”)
- ▶ *Syād nāsti ca avaktavya ca.* (“Perhaps it is not and is inexpressible.”)
- ▶ *Syād asti nāsti ca avaktavya ca.* (“Perhaps it is, is not, and is inexpressible.”)

# Is mathematics secular?

- ▶ Mathematics used for practical calculations is secular.

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# Is mathematics secular?

- ▶ Mathematics used for practical calculations is secular.
- ▶ But if mathematics is about proof using 2-valued logic

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# Is mathematics secular?

- ▶ Mathematics used for practical calculations is secular.
- ▶ But if mathematics is about proof using 2-valued logic
- ▶ Then it is culturally biased.

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# Summary and conclusions

- ▶ Limits lack practical value.

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# Summary and conclusions

- ▶ Limits lack practical value.
- ▶ In fact they have negative practical value: for they make calculus teaching difficult.

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# Summary and conclusions

- ▶ Limits lack practical value.
- ▶ In fact they have negative practical value: for they make calculus teaching difficult.
- ▶ Calculus **with** limits advocated on grounds of “rigor”.

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# Summary and conclusions

- ▶ Limits lack practical value.
- ▶ In fact they have negative practical value: for they make calculus teaching difficult.
- ▶ Calculus **with** limits advocated on grounds of “rigor”.
- ▶ But definitions of derivative etc. are arbitrary.

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# Summary and conclusions

- ▶ Limits lack practical value.
- ▶ In fact they have negative practical value: for they make calculus teaching difficult.
- ▶ Calculus **with** limits advocated on grounds of “rigor”.
- ▶ But definitions of derivative etc. are arbitrary.
- ▶ Claim of rigor rests on belief that mathematical proof is “universal”.

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- ▶ But proof and theorems vary with logic.

Calculus without  
Limits

C. K. Raju

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- ▶ But proof and theorems vary with logic.
- ▶ Logic not culturally universal

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- ▶ But proof and theorems vary with logic.
- ▶ Logic not culturally universal
- ▶ Nor empirically certain.

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- ▶ But proof and theorems vary with logic.
- ▶ Logic not culturally universal
- ▶ Nor empirically certain.
- ▶ So proofs with 2-valued logic are not universal.

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# Summary and Conclusions

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- ▶ But proof and theorems vary with logic.
- ▶ Logic not culturally universal
- ▶ Nor empirically certain.
- ▶ So proofs with 2-valued logic are not universal.
- ▶ So why teach calculus with limits?

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